

APPENDIX 6: SEA DIRECTIVE REQUIREMENTS CHECKLIST

Requirement Summary	SEA Directive	Location in the Sustainability Appraisal
Contents and main objectives of the Local Plan	<i>“an outline of the contents, main objectives of the plan or programme...”</i> (Annex I(a))	Chapter 2 of the Local Plan Issues and Options Report (Vision) Section 4 of the Scoping Report. Section 1 of the Initial Sustainability report.
Relationship with other plans and programmes.	<i>“... and relationship with other relevant plans and programmes”</i> (Annex I(a))	Identified in theme chapters in Scoping report.
Relevant aspects of the current state of the environment and its likely evolution without the implementation of the plan (DPD) The environmental characteristics of the areas likely to be significantly affected	<i>“the relevant aspects of the current state of the environment and ...”</i> (Annex I(b)) <i>“the environmental characteristics of areas likely to be significantly affected”</i> (Annex I(c))	Identified in theme chapters in Scoping Report.
The situation if the plan was	<i>‘...the likely evolution thereof without</i>	Identified in theme

not prepared.	<i>implementation of the plan or programme” (Annex I(b))</i>	<p>chapters in Scoping Report (What will be the situation without the Plan?)</p> <p>Summarised in chapter 2 of the Initial Sustainability Report.</p>
Any existing environmental problems (issues) in particular those relating to areas designated under the Habitats and Birds Directives	<i>“any existing environmental problems which are relevant to the plan or programme including, in particular, those relating to any areas of a particular environmental importance, such as areas designated pursuant to Directives 79/409/EEC [Special Protection Areas under the Birds Directive] and 92/43/EEC [Special Areas of Conservation under the Habitats Directive]”</i>	<p>Identified in theme chapters in Scoping Report, summarised in the executive summary.</p> <p>Existing problems with areas designated under the habitats directive identified in chapter 20 of the Scoping Report.</p>
The environmental protection objectives which are relevant to the plan or programme, and the way those objectives have been taken into account in its preparation	<i>“the environmental protection objectives, established at international, Community or Member State level, which are relevant to the plan or programme and the way those objectives and any environmental considerations have been taken into account during</i>	Identified and reviewed in the theme chapters of the Scoping Report, including implications for the Local Plan.

	<i>its preparation</i> " (Annex I(e))	
Consultation with key bodies	"The [environmental] authorities... shall be consulted when deciding on the scope and level of detail of the information which must be included in the environmental report" (Article 5(4))	Environmental Authorities consulted in February 2012. Scoping Report (table 11).
The likely significant effects on the environment (and economic and social impacts)	<i>"the likely significant effects¹ () on the environment, including on issues such as biodiversity, population, human health, fauna, flora, soil, water, air, climatic factors, material assets, cultural heritage including architectural and archaeological heritage, landscape and the interrelationship between the above factors"</i> (Annex I(f)) <i>¹ () These effects should include secondary, cumulative, synergistic, short, medium and long-term permanent and temporary, positive and negative effects.</i>	Initial Sustainability Report site and policy assessments.
The measures envisaged to prevent, reduce and as fully as possible offset any significant effects on the environment	<i>"the measures envisaged to prevent, reduce and as fully as possible offset any significant adverse effects on the environment of implementing the plan or</i>	Summarised in Initial Sustainability Appraisal, more detailed discussion accompanies the

	<i>programme</i> " (Annex I(g))	detailed assessments.
An outline of the reasons for selecting the alternatives dealt with ...	<i>"an outline of the reasons for selecting the alternatives dealt with..."</i> (Annex I(h))	Initial Sustainability Appraisal chapter 4, and appendix 4.
... and a description of how the assessment was undertaken, any problems, etc.	<i>"...and a description of how the assessment was undertaken including any difficulties (such as technical deficiencies or lack of know-how) encountered in compiling the required information"</i> (Annex I(h))	Scoping Report chapter 7. Initial Sustainability Report Chapter 5.
A description of the measures envisaged concerning monitoring	<i>"a description of the measures envisaged concerning monitoring..."</i> (Annex I(i))	Scoping Report section 6 and theme chapters.
Public consultation	<i>"The [environmental] authorities... and the public... shall be given an early and effective opportunity within appropriate time frames to express their opinion on the draft plan or programme and the accompanying environmental report before the adoption of the plan or programme or its submission to the legislative procedure"</i> (Article 6(2))	Local Plan Issues and Options Report, accompanied by Scoping Report and Initial Sustainability Appraisal subject to public consultation before draft plan and sustainability appraisal is prepared.

<p>Taking account of issues raised through consultation</p>	<p><i>"The environmental report... [and] the opinions expressed [through the consultation] shall be taken into account during the preparation of the plan or programme and before its adoption or submission to the legislative procedure"</i></p>	<p>Local Plan Issues and Options Report, accompanied by Scoping Report and Initial Sustainability Appraisal subject to public consultation before draft plan and sustainability appraisal is prepared.</p>
<p>A non-technical summary of the above</p>	<p><i>"a non-technical summary of the information provided..." (Annex I(j))</i></p>	<p>Scoping Report and Initial Sustainability Report include a Non-Technical Summary.</p>